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6-5-98
P.R.

Name of Registered Representative:

J. E. Retsky
Jonathan E. Retsky, Registration No. 34,415

5/18/98
Date of Signature

PATENT
Case No. 8406/4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

HOWARD B. GARBER

) Group Art Unit: 2762

Serial No.: 08/868,200

) Examiner: Stanley, E.

Filed: June 3, 1997

Title: SYSTEM AND METHOD FOR
TRADING HAVING A PRINCIPAL
MARKET MAKER

Assistant Commissioner For Patents
Washington, D.C. 20231

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AMENDMENT UNDER 37 C.F.R. § 1.115

Dear Sir:

In response to the Office Action mailed February 18, 1998, Applicant submits the following amendments and remarks, and requests reconsideration of the application.

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01 FG:203 33.1CH
06/05/1998 PZ11MMR 000001
01 FG:203 33.1CH